



HVA

Sector/41

Attorney's Docket No.: 07039-296001

H3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gautam Khurana et al.                      Art Unit : 1616  
Serial No. : 09/723,121                                      Examiner : Unknown  
Filed : November 27, 2000  
Title : GENE DELIVERY DEVICE AND GENE DELIVERY METHOD

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

The following documents relating to this application are enclosed.


1. Response to Notice to File Missing Parts of Application (1 page);
2. Copy of Notice to File Missing Parts (1 page);
3. Petition for One-Month Extension of Time (1 page);
4. Small Entity Statement (1 page);
5. Combined Declaration and Power of Attorney (3 pages);
6. Check in the amount of \$120 (\$65 for late filing of declaration and \$55 for extension of time); and
7. Postcard.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

July 16, 2001

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

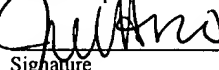
Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696  
60047989.doc

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 16, 2001

Date of Deposit

  
Signature

Jill Huso

Typed or Printed Name of Person Signing Certificate



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gautam Khurana et al.                      Art Unit : 1616  
Serial No. : 09/723,121                                      Examiner : Unknown  
Filed : November 27, 2000  
Title : GENE DELIVERY DEVICE AND GENE DELIVERY METHOD

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

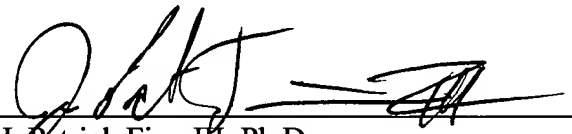
In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed April 24, 2001 (copy enclosed), Applicants as a small entity submit herewith the following:

1. Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
2. A Verified Statement Claiming Small Entity Status; and
3. Check in payment of \$65 surcharge for filing Declaration on a date later than the filing date of the application;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 16, 2001

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

60047986.doc

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 16, 2001

Date of Deposit

  
Signature

Jill Fusco

Typed or Printed Name of Person Signing Certificate

#3 MSE JXF



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/723,121	11/27/2000	Gautam Khurana	18093/1160

 07039/29600/  
 CONFIRMATION NO. 5932

## FORMALITIES LETTER



\*OC000000005999533\*

 Kathleen M. Williams  
 Palmer & Dodge, LLP  
 One Beacon Street  
 Boston, MA 02108

Date Mailed: 04/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/25/2001 SHINASS1 00000067 09723121

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

